

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Group Art Unit:

Unknown

HANS LAUTENSCHLAGER ET AL.

Examiner:

Unknown

Serial No.:

10/506,659

Filed:

September 3, 2004

For:

SILOXANE POLYMERS CONTAINING SI-BOUND HYDROGEN

ATOMS AS ANTIMISTING ADDITIVES FOR SILICONE COATING

**COMPOSITIONS** 

Attorney Docket No.: WAS 0654 PUSA

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner.

Accompanying the Information Disclosure Statement is the statement of Dr. Maria Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 25, 2005

William G. Conger
Name of Person Signing

Signature

Date of Deposit

Atty Dkt No. WAS 0654 PUSA

S/N: 10/506,659

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37-C.F.R. §-1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

HANS LAUTENSCHLAGER ET AL.

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

Date: <u>Jenuery</u> 25, 2005

**BROOKS KUSHMAN P.C.** 

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Phone: 248-358-4400 Fax: 248-358-3351



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of HANS LAUTENSCHLAGER Serial Number:

**Group Art Unit:** 

Filed:

For: SILOXANE POLYMERS CONTAINING SI-BOUND HYDROGEN ATOMS AS ANTIMISTING ADDITIVES FOR SILICONE COATING COMPOSITES

## Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application, in the Office Action of the German Patent and Trademark Office and in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

WO 01/98420 A2, cited in the German Office Action as well as in the International Search Report, is already in English language and is enclosed.

US 5,241,034 A, cited in the German Office Action, is enclosed.

WO 01/98418 A, cited in the International Search Report as well as in the application, is already in English language and is enclosed.

For DE 19629053 A, cited in the International Search Report as well as in the application, an English Derwent Abstract is enclosed.

For DE 19522144 A, cited in the International Search Report as well as in the application, an English Derwent Abstract is enclosed.

For EP 1008615 B, cited in the International Search Report, the corresponding US 6,265,497 B1 is enclosed.

For EP 1277786 A, cited in the International Search Report, the corresponding US 2003055194 A1 is enclosed.

EP 0 716 115 A2, cited in the application, is already in English language and is enclosed.

US 6,265,497 B1, cited in the application, is enclosed. US 6,034,225 A, cited in the application, is enclosed.

US 6,258,913 B1, cited in the application, is enclosed.

US 6,274,962 B1, cited in the application, is enclosed.

US 5,241,034 A, cited in the application, is enclosed.

US 5,760,145 A, cited in the application, is enclosed.

US 6,265,497 B1, cited in the application, is enclosed.

US 5,961,435 A, cited in the application, is enclosed.

Signed this 21 day of September, 2004.

Dr. Maria Deffner-Lehner

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for F	Form 1449A/PTO			Complete if Known			
				Application Number	10/506,659		
	MATION D		=	Filing Date	September 3, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Hans Lautenschlager et al.		
				Group Art Unit	Unknown		
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U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.¹	U.S. PATENT DO	OCUMENT Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		5,241,034		Herzig et al.	8/31/93			
		5,691,435		Herzig et al.	11/25/97			
		5,760,145		Herzig et al.	6/2/98			
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FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document Kind Code <sup>5</sup>			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initials	No.1	Offic	e <sup>3</sup> Number <sup>4</sup>	(if known)	Applicant of Cited Document	MM-DD-YYYY	Figures Appear		
		EP	0 716 115 A2		Dow Corning	6-12-1996			
		EP	1 277 786 A1		Wacker-Chemie	1-22-2003			
		WO	01/98420 A2		Dow_Corning	12-27-2001			
		WO	01/98418 A2		Dow Corning	12-27-2001			
		DE	195 22 144 A1		Wacker-Chemie	1-2-1997	,		
		DE.	196 29 053 A1		Wacker-Chemie	1-22-1998			

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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B (10-96) [reproduced]
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449B/PTO			BAD TALOGY OF THE	Complete if Known						
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/506,659					
				Filing Date	September 3, 2004					
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				Group Art Unit	Unknown					
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Sheet	2	of	2	_Attorney Docket Number	_WAS_0654_PUSA					
OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS										
Examiner Initials	Cite No.1		n (book, magazine, journal, se		ticle (when appropriate), title of the e, page(s), volume-issue number(s), blished.	T 2				
			glish Derwent Abs 6 29 053	tract AN 1998-087924	[19] corresp. to DE					
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Examiner	Date	_
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.